

## NOTICE TO AUSTRALIAN CLIENTS

StormHarbour Securities LLP (“StormHarbour”) has made the necessary lodgements with the Australian Securities and Investments Commission for an exemption under Class Order 03/1099, as amended, from the requirement to hold an Australian financial services licence under the Corporations Act 2001 of Australia (“the Act”) in respect of the financial services provided to Australian wholesale clients.

In order to fulfill the notice requirements of Class Order 03/1099, as amended, StormHarbour is required to make the following disclosures to all wholesale clients in Australia to whom it will provide financial services in the future:

- StormHarbour is exempt from the requirement to hold an Australian financial services licence under the Act in respect of the financial services it provides to Australian wholesale clients; and
- StormHarbour is authorised and regulated by the Financial Conduct Authority under UK laws, which differ from Australian laws.

We have classified you as a wholesale client for the purposes of Section 761G of the Act. If you disagree with your classification as a wholesale client please contact the Compliance Officer of StormHarbour.